

37707 U.S. PTO  
09/23/03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**EV301024505US**

Docket No. B-3719DIVofPCT 621147-1

Anticipated Classification

of this application

Class \_\_\_\_\_ Subclass \_\_\_\_\_

Prior application: 09/381,010

Examiner: Daniel J. Chung

Art Unit: 2672

22389 U.S. PTO  
10/669477

09/23/03

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CONTINUATION OR DIVISIONAL FILING UNDER 37 C.F.R. § 1.53(b)**

This is a request for filing a

☐ Continuation ☒ Divisional

application under 37 C.F.R. § 1.53(b), of pending prior U.S. Application Serial No. 09/381,010 filed on September 13, 1999, which is the U.S. National Stage of PCT International Patent Application No. PCT/GB98/00917 (filed on March 26, 1998), which PCT application designates the United States of America, of (1) Kenneth Graham Paterson for "DECODER SYSTEM CAPABLE OF PERFORMING A PLURAL-STAGE PROCESS".

**1. Prior Application**

☒ I hereby verify that the attached papers are a true copy of what is shown in my records to be the above-identified prior application (USSN 09/381,010), including 61 pages of specification, 5 pages of claims, 1 page of abstract, the oath or declaration (2 pages), and 7 sheets of drawings (Figs. 1-19) as originally filed. The attached true copy constitutes the above-identified divisional application (please also see 8 for drawing requirements.)

**NOTE:** Under 37 C.F.R. § 1.53(b) practice, signing and execution of the application by the applicant may be omitted provided the application is a continuation or divisional which adds neither new matter nor new inventors to the parent application, and a copy of the original declaration or oath from the parent application is supplied with the new application - 37 C.F.R. § 1.63(d)(1)

**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this paper and the documents referred to as attached therein are being deposited with the United States Postal Service on this date September 23, 2003 in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Number EV301024505US addressed to the

"Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450"

Suzanne Johnston

(Type or print name of person mailing paper)

(Signature of person mailing paper)

**NOTE:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing - 37 C.F.R. § 1.10(b).

2. Amendments

☐ Cancel in this application original claims \_\_\_ of the prior application before calculating the filing fee.

☒ A preliminary amendment is enclosed.

**NOTE:** Only amendments reducing the number of claims will be entered before calculating the final fee.

3. Fee Calculation

CLAIMS FOR FEE CALCULATION

Number Filed	Number Extra	Rate	Basic Fee \$750.00
Total Claims	7 -20=	0 x \$ 18.00	0
Independent Claims	2 -3=	0 x \$ 84.00	0
Multiple dependent Claim(s), if any		0 x \$ 280.00	0

☐ The fee for extra claims is not being paid at this time.

**NOTE:** If the fees for extra claims are not paid on filing they must be paid or the claims canceled by amendments, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 C.F.R. § 1.16(d).

Filing fee calculation \$ 750.00

4. Small Entity Status

☐ a verified statement that this is a filing by a small entity is attached.

☐ a verified statement has previously been filed in the parent application (37 C.F.R. § 1.28 (a)).

Filing fee calculation (50% of above) \$       

**NOTE:** If a verified statement is filed within two (2) months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

5. Fee Payment Being Made At This Time

Not enclosed

☐ no filing fee is submitted. This and the surcharge required by 37 C.F.R. § 1.16(e) can be paid subsequently.

Enclosed

☒ filing fee \$ 750.00

6. Method of Payment of Fees

☒ enclosed is a check in the amount of \$ 750.00

☐ charge account number 12-0415 in the amount of \$

**NOTE:** Fees should be itemized in such a manner that it is clear for which purpose the fees are paid - 37 C.F.R. § 1.22(b)

7. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 12-0415:

- ☒ 37 C.F.R. § 1.16 (filing fees and presentation of extra claims)
- ☒ 37 C.F.R. § 1.17 (application processing fees)
- ☐ 37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b)).

NOTE: 37 C.F.R. § 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application...prior to paying...issue fee."

8. Drawings

- ☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this request is enclosed for filing in the prior application file. (May only be used if signed by (1) the applicant, (2) the assignee of record or (3) the attorney or agent of record authorized by 37 C.F.R. § 1.138 and before payment of issue fee.)

NOTE: ~~DO NOT~~ check this box if prior case is not to be abandoned.

- ☐ Transfer the following sheet(s) of drawings from the prior application to this application \_\_\_\_\_

NOTE: Transferring sheets must be cancelled in prior application 37 C.F.R. § 1.88

- ☒ Drawings are enclosed (see true copy of prior application USSN 09/381,010)

9. Priority - 35 U.S.C. 119

- ☒ Priority of application Serial Nos. 9706457.0 filed on March 27, 1997 in Great Britain and 9713690.7 filed on June 30, 1997 in Great Britain is claimed under 35 U.S.C. 119.

- ☒ The certified copies of GB Appln. No. 9706457.0 and GB Appln. No. 9713690.7 have been filed in prior PCT International Patent Application No. PCT/GB98/00917.

10. Relate Back - 35 U.S.C. 120

The Preliminary Amendment presents an amendment to the specification to relate this divisional application to its parent. This application is a divisional of co-pending U.S. Application Serial No. 09/381,010 filed on September 13, 1999, which is the U.S. National Stage of PCT International Patent Application No. PCT/GB98/00917 (filed on March 26, 1998), which PCT application designates the United States of America.

11. Assignment

- ☒ The prior application is assigned of record to

(1) Hewlett-Packard Company

- ☒ Attached is the following number of Assignments: 1

and respective **new** Cover Sheets. (Do **NOT** file old cover sheets.)

12. Power of Attorney

CUSTOMER NO.: 36716

- a. ☒ The power appears in the original papers in the prior application
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ A copy of the executed Supplemental Declaration/Power is enclosed herewith.
- d. ☒ Address all future communications to:

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, Colorado 80527-2400

- e. ☐ An Associate Power of Attorney has been executed and is attached.


(May only be completed by applicant, or attorney or agent of record).

13. Maintenance of Copendency of Prior Application

- ☐ A petition, fee and response has been filed to extend the term in the pending prior application \_\_\_\_\_ until \_\_\_\_\_.

14. An Information Disclosure Statement is enclosed herewith.

September 23, 2003  
Date

  
\_\_\_\_\_  
Signature  
Richard P. Berg  
Registration No. 28,145

Address of Signatory

c/o LADAS & PARRY  
5670 Wilshire Boulevard  
Suite 2100  
Los Angeles, Ca 90036-5679  
Telephone No. (323) 934-2300  
Telefax No. (323) 934-0202

- ☐ Inventor(s)
- ☐ Assignee of complete interest
- ☒ Attorney or agent of record
- ☐ Filed under Rule 34(a)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REGARDING THE U.S. PATENT APPLICATION OF:  
Kenneth Graham Paterson

ENTITLED: "DECODER SYSTEM CAPABLE OF PERFORMING A PLURAL-STAGE  
PROCESS"

New U.S. Divisional patent application based on USSN 09/381,010

Our Ref: B-3719DIVofPCT 621147-1

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

EV301024505US

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV301024505US

Date of Deposit: September 23, 2003

I hereby certify that the following attached papers or fee:

- postcard
- check no. 17765 for \$750.00 to cover official filing fee
- Transmittal letter with Certification under 37 CFR 1.10 (4 pages)
- Preliminary amendment (9 pages)
- True copy of parent application U.S. Patent Appln. No. 09/381,010 consisting of: 61 pages of specification, 5 pages of claims, 1 page of abstract, the oath or declaration (2 pages), and 7 sheets of drawings (Figs. 1-19)
- Claim to Priority (1 page)
- Information Disclosure Statement with Form PTO-1449 (modified) (4 pages)
- Assignment document (1 page) with Cover Sheet (1 page), and check no. 17766 for \$40.00 to cover Assignment recordal fee

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Suzanne Johnston

(Typed or printed name of person mailing paper or fee)

(Signature)